## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : (To Be Assigned) Confirmation No. : (TBA)

Applicants : Peter KROTKY ET AL.

Filed : March 31, 2004
TC/A.U. : (To Be Assigned)
Examiner : (To Be Assigned)
Docket No. : 028987.53097US

Customer No. : 23911

Title : Cylinder Head For A Water-Cooled Multi-Cylinder

**Internal-Combustion Engine** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

## STATEMENT OF RELEVANCE

The relevance of German Patent Document No. DE 100 21 525 (corresponding U.S. Published application US 2002-162520), German Patent Document No. DE 199 43 001 (corresponding U.S. Patent 6,499,444), and German Patent Document No. DE 35 16 453 (corresponding U.S. Patent 4,699,092) to the subject matter of the present invention is given in the <u>Background and Summary of the Invention</u> in the specification of the present invention.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a

Atty. Docket No.: 028987.53097US

Inventor: Peter KROTKY et al.

corresponding foreign Search Report citing such documents, together with an English-

language version (if not already included) of that portion of the Search Report indicating

the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later than

three months from the application's filing date or (2) before the mailing date of the first

Office Action on the merits (whichever is later), and therefore no certification under 37

C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any

such document constitutes prior art against the claims of the present application.

Applicant does not waive any right to take any action that would be appropriate to

antedate or otherwise remove any listed document as a competent reference against the

claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the

Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee

set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged

to Deposit Account No. 05-1323 (Docket No.: 028987.53097US)

Respectfully submitted,

March 31, 2004

Donald D. Evenson

Registration No. 26,160

CROWELL & MORING LLP Intellectual Property Group

P.O. Box 14300

Washington, DC 20044-4300

Telephone No.: (202) 624-2500

Facsimile No.: (202) 628-8844

DDE:alw

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

			ne persons are re	quired to respond to a concedent of it	normation unless it contains a valid ONB control number.		
Substitute for form 1449A/PTO				Complete if Known			
INFORMATION DISCLOSURE				Application Number	(To Be Assigned)		
				Filing Date	March 31, 2004		
STATEMENT BY APPLICANT			ANT	First Named Inventor	Peter KROTKY ET AL.		
				Art Unit	(To Be Assigned)		
(use as many sheets as necessary)		Examiner Name	(To Be Assigned)				
Sheet	1	of	1	Attorney Docket Number	028987.53097US		

			U.S. PATENT I	DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if know	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	_	US- 2002/0162520	11/07/2002	Batzill	
	_	US- 4,699,092	10/13/1987	Ruf et al.	
		US- 5,890,461	04/06/1999	likura	
		US- 6,427,642	08/06/2002	Beer et al.	
		US- 6,499,444	12/31/2002	Batzill et al.	
		US-			
		US-			
		UŚ-			
		US-			
		US-			
,		US-			
		US-			
-	-	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T€
		DE 35 16 453	07/13/1989	Ruf et al.		
		DE 100 21 525	11/15/2001	Batzill		
		DE 196 08 576	06/19/1997	Heuberger et al.		
		DE 199 43 001	10/26/2000	Batziil et al.		ļ
		EP 1 083 329	03/14/2001	Batzill et al.	<del></del>	1

Examiner	Date	
<b>.</b>	Date	
Signature	Considered	
	 0	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.